

06/14/01
j1064 U.S. PTO

06-15-01

A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Richard A. Skogman
Docket: H16-01331-US (M&G 13358.5US01)
Title: METHOD AND APPARATUS FOR PRODUCING VCSELS WITH DIELECTRIC MIRRORS AND SELF-ALIGNED GAIN GUIDE

CERTIFICATE UNDER 37 CFR 1.10
'Express Mail' mailing label number: EL 815526165 US
Date of Deposit: June 14, 2001
I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 and is addressed to the Commissioner for Patents, Washington, D.C. 20231.
By: Omesh Singh
Name: Omesh Singh

JC986 U.S. PTO
09/881167
06/14/01

BOX PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.10.
- ☒ Utility Patent Application: Spec. 13 pgs; 32 claims; Abstract 1 pgs.
The fee has been calculated as shown below in the 'Claims as Filed' table.
- ☒ 5 sheets of formal drawings
- ☒ A signed Declaration
- ☒ Assignment of the invention to Honeywell International, Inc., Recordation Form Cover Sheet
- ☒ Other: Fee Transmittal For FY 2001. PAYMENT OF THE FILING FEE AND ASSIGNMENT RECORDATION FEE IS BEING CHARGED TO DEPOSIT ACCOUNT NO. 01-1125.
- ☒ Two return postcards

CLAIMS AS FILED

Number of Claims Filed		In Excess of:		Number Extra		Rate		Fee
Basic Filing Fee								\$710.00
Total Claims								
32	-	20	=	12	x	18.00	=	\$216.00
Independent Claims								
4	-	3	=	1	x	80.00	=	\$80.00
MULTIPLE DEPENDENT CLAIM FEE								\$0.00
TOTAL FILING FEE								\$1006.00

Please charge any additional fees or credit overpayment to Deposit Account No. 01-1125. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.
P.O. Box 2903, Minneapolis, MN 55402-0903
(612) 332-5300

By: John J. Gresens
Name: John J. Gresens
Reg. No.: 33,112
Initials: JJG/AMN/vh



This form is a **Replica of PTO/SB/17 (7/01)**

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

**FEE TRANSMITTAL
For FY 2001**

Patent fees are subject to annual revision.

COMPLETE IF KNOWN

Application No.

Filing Date

First Named Inventor

Skogman

Group Art Unit

Examiner Name

Attorney Docket No.

H16-01331-US (13358.5US01)

TOTAL AMOUNT OF PAYMENT \$1,046.00**METHOD OF PAYMENT (check one)**1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:Deposit
Account
Number

01-1125

Deposit
Account
Name

Honeywell International Inc.

☒ Charge any Additional Fee Required
Under 37 CFR §§1.16 & 1.172. ☐ Payment Enclosed:☐ Check ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee \$	Fee Code	Fee \$		
101	710	201	355	Utility Filing Fee	710
106	320	206	160	Design Filing Fee	
107	480	207	245	Plant Filing Fee	
108	710	208	355	Reissue Filing Fee	
114	160	214	75	Provisional Filing Fee	
SUBTOTAL (1)					\$ - 710 -

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
32	-20-	18.00	-216-
Independent Claims	-3-	80.00	-240-
Multiple Dependent Claims	-0-	270.00	-0-

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee \$	Fee Code	Fee \$		
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim	
109	80	209	40	Reissue independent claims over original patent	
110	18	210	9	Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					\$ - 296 -

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee \$	Fee Code	Fee \$		
105	130	205	65	Surcharge - Late Filing Fee	
127	50	227	25	Surcharge - Late Provisional Filing Fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner Action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner Action	
116	110	216	55	Extension for reply within first month	
118	390	218	195	Extension for reply within second month	
117	690	217	445	Extension for reply within third month	
118	1,390	218	685	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	136	Request for Oral Hearing	
138	1,510	138	1,510	Petition to institute a public use hearing	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to Provisional applications	
126	180	126	240	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
148	710	248	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

* Reduced by Basic Filing Fee Paid **SUBTOTAL (3)**

\$40.00

SUBMITTED BY

Name (Print / Type)

Andrew A. Abeyta

Registration No.
(Attorney/Agent)

39,582

Complete (if applicable)

Telephone

602-313-3345

Signature

Date

6/8/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**Burden Hour Statement:** This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C., 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC, 20231.